

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

JEONG et al.

Application No.: Unassigned                      Art Unit: Unassigned

Filed: May 29, 2001                      Examiner: Unassigned

For: SYSTEM FOR  
MATCHING STEREO  
IMAGE IN REAL TIME

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

Prior to the examination of the above-identified patent application, please enter the following amendments and consider the following remarks.

**IN THE SPECIFICATION**

Replace the paragraph beginning at page 1, line 25, with:

In an article [Stuart Geman and Donald Geman. Stochastic relaxation, Gibbs distributions, and the Bayesian restoration of images. IEEE Transactions on Pattern Analysis and Machine Intelligence, PAMI-6(6):721-741, November 1984], a stereo matching method using Markov random fields and stochastic optimization methods, based on simulated annealing presented by S. Kirkpatrick et al., "Optimization by Simulated Annealing", Science, May 1983, pg. 671-680, is described. This has been further developed by others, for example, Geiger and Giroso using mean field theory. However, this class of methods is iterative in nature resulting in very long computational times that are not suitable for real time stereo matching.

Replace the paragraph beginning at page 2, line 3 , with:

In an article [H. H. Baker and T. O. Binford. Depth from edge and intensity based stereo. In Proceedings of the International Joint Conference on Artificial Intelligence, page 631-636, Vancouver, Canada, 1981] and an article [Y. Ohta and T. Kanade. Stereo by intra- and inter-scan line search. IEEE Transactions on Pattern Analysis and Machine Intelligence, PAMI-7(2):139-154, March 1985], stereo matching methods based on dynamic programming (DP) and heuristic post-processing are described. In an article [Ingemar J. Cox, Sunita L. Hingorani, Satish B. Rao, and Bruce M. Maggs. A maximum likelihood stereo algorithm. Computer Vision and Image Understanding, 63(3):542-567, May 1996] and an article [Stan Birchfield and Carlo Tomasi. Depth discontinuities by pixel-to-pixel stereo. In Proceeding of the IEEE International Conference on Computer Vision, pages 1073-1080m, Bombay, India, 1998], single-level DP in discrete pixel oriented methods are described. In an article [Peter N. Belhumeur. A Bayesian approach to binocular stereopsis. International Journal of Computer Vision, 19(3):237-260, 1996], a more complex DP method with sub-pixel resolution is described. Though this class of methods is much faster than the Markov random field based ones, they do not scale well for parallel processing and are thus still unsuitable for real-time stereo matching.

Replace the paragraph beginning at page 5, line 28 , with:

An image input to the left and right cameras 10 and 11 is converted into digital signals in the form of pixels in the image processing unit 12 and one scan line of each image is provided to the SMC 13 in units of a pixel. After the scan line is fully provided to the SMC 13, disparity data is output in units of a pixel. The process in which a disparity is output is repeated for all scan lines of the pair of images in the same way. Therefore, only the process for processing a pair of scan lines will now be explained.

Replace the paragraph beginning at page 6, line 3 , with:

As shown in FIG. 2, the SMC 13 contains a linear array of N identical processing elements 22 and two linear arrays, each of N/2 image registers 20 and 21. Here, N is a multiple of 2.

Replace the paragraph beginning at page 6, line 25 , with:

Therefore, half of the processing elements 22 and half of the image registers (20 or 21) operate at each system clock cycle, beginning from the even-numbered processing elements 22 and right image registers 20. The processing step is controlled by read/write signal (F/B or R/W, hereinafter referred to as "R/W"). When an R/W signal line is in a high state, data is written and when the R/W signal line is in a low state, data is read.

Replace the paragraph beginning at page 7, line 6 , with:

In the last ClkO in the initializing process, after the first half of the data in the scan line of the right image is input to the processing elements 22, the first pixel in the scan line of the left image is input to the processing elements 22. At this time, registers inside each processing element 22 are set to an appropriate initial value. The initial value of the processing element 0 is '0' and the initial value of all the other processors is the maximum (or close to the maximum) possible value. Then, the processing process is continuously applied to all pixel data input at each system clock until data in the present scan line is all processed (ClkE is for the left image, and ClkO is for the right image).

IN THE CLAIMS

Replace the indicated claims with:

1. (Amended) A real-time stereo image matching system comprising:  
signal converting means for converting an image input from a first camera and a second camera into a digital signal; and  
image matching means for calculating a matching cost based on a pair of pixels in one scan line of the first and second digital image signals, tracing a decision value which determines a minimum matching cost, and outputting the decision value as an estimated disparity according to predetermined activation information.
2. (Amended) The real-time stereo image matching system of claim 1, wherein the first camera and second camera have optical axes parallel to each other and have coplanar focal planes on.
3. (Amended) The real-time stereo image matching system of claim 1, wherein, in the image matching means, the matching cost is calculated after occlusion information for pixels which do not match in the scan line is added to the pair of pixels.
4. (Amended) The real-time stereo image matching system of claim 1, wherein the image matching means further comprises:  
first storage means for storing the digital image pixels from the first camera;  
second storage means for storing the digital image pixels from the second camera;  
processing means for outputting a estimated disparity using pixels input from the first and second storage means; and  
clock control means for providing a clock signal for controlling operations of the first and second storage means and the processing means.

5. (Amended) The real-time stereo image matching system of claim 4, wherein the system includes N processing means, N/2 first storage means, and N/2 second storage means where N is an integer multiple of 2.

7. (Amended) The real-time stereo image matching system of claim 5, wherein, among the N processing means, only a processing means that outputs the predetermined disparity is activated at one time and the remaining processing means are in high impedance states.

10. (Amended) The real-time stereo image matching system of claim 4, wherein the clock control means outputs a first clock signal for even-numbered processors and the second storage means, and a second clock signal for odd-numbered processors and the first storage means.

11. (Amended) The real-time stereo image matching system of claim 4, wherein the processing means comprises:

a forward processor for receiving a pixel of one scan line in the first storage means and the second storage means, and outputting a determined matching cost and a decision value;

decision storage means for storing the decision value output from the forward processor; and

a second processor for outputting a determined disparity, using the decision value output from the decision storage means, according to activation information.

12. (Amended) The real-time stereo image matching system of claim 11, wherein, when a write control signal is input from outside, the first processor operates, and when a read control signal is input from outside, the second processor operates.

13. (Amended) The real-time stereo image matching system of claim 11, wherein the decision storage means has a last-in first-out structure in which the decision value that is output last from the first processor is first input to the second processor.

14. (Amended) The real-time stereo image matching system of claim 11, wherein the first processor comprises:

matching cost calculating means for calculating a matching cost, using a pixel of one line in the first storage means and the second storage means;

first adding means for adding the calculated matching cost to the fed-back accumulated cost;

comparing means for comparing the output of the first adding means with the costs of neighboring processing means, and then outputting the minimum matches of cost and decision value;

storage means for storing the minimum cost that is a comparison produced by the comparison means, as the accumulated cost; and

second adding means for adding the entire cost and occlusion cost to produce a sum, and then outputting the sum to neighboring processing means.

15. (Amended) The real-time stereo image matching system of claim 11, wherein the second processor comprises:

logical OR means for OR-ing activation information of the neighboring processing means and feed-back activation information route;

a register for storing the last activation information produced by the logical OR means;

demultiplexing means for demultiplexing the last activation information according to the decision value output from the decision storage means and outputting to the neighboring processing means and feeding back to the logical OR means; and

a tri-state buffer for outputting the decision value output from the decision storage means, as a determined disparity, according to the activation information of the register.

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## IN THE ABSTRACT

Replace the abstract with:

### Abstract

A system for processing stereo matching of a video image sequence in a real-time mode. The system includes a signal converter for converting an image input from a first camera and a second camera into a digital signal; and an image matching chip for calculating a determined matching cost based on a pair of pixels in one scan line of the first and second digital image signals, tracing the decision value which determines the minimum matching cost, and outputting the decided value as an estimated disparity according to determined activation information; and a display for displaying the output from the image matching. According to the system, real-time stereo matching is enabled by parallel processing or video image sequences using an algorithm which is based on a new dynamic trellis based method and is optimal in the Bayesian sense.

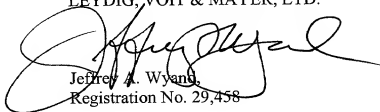
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**REMARKS**

The foregoing amendments are made to correct minor translational errors and to meet United States requirements as to form. No new matter is added.

Respectfully submitted,

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**SPECIFICATION, CLAIMS AND  
ABSTRACT AS PRELIMINARILY AMENDED**

Amendments to the paragraph beginning at page 1, line 25:

In an article [Stuart Geman and Donald Geman. Stochastic relaxation, Gibbs distributions, and the Bayesian restoration of images. IEEE Transactions on Pattern Analysis and Machine Intelligence, PAMI-6(6):721-741, ~~November~~ November 1984], a stereo matching method using Markov random fields and stochastic optimization methods, based on simulated annealing presented by S. Kirkpatrick et al., "Optimization by Simulated Annealing", Science, May 1983, pg. 671-680, is described. This has been further developed by others, for example, Geiger and Girosi using mean field theory. However, this class of methods is iterative in nature resulting in very long computational times that are not suitable for real time stereo matching.

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Analysis and Machine Intelligence, PAMI-7(2):139-154, March 1985], stereo matching methods based on dynamic programming (DP) and heuristic post-processing are described. In an article [Ingemar J. Cox, Sunita L. Hingorani, Satish B. Rao, and Bruce M. Maggs. A maximum-likelihood stereo algorithm. Computer Vision and Image Understanding, 63(3):542-567, May 1996] and an article [Stan Birchfield and Carlo Tomasi. Depth discontinuities by pixel-to-pixel stereo. In Proceeding of the IEEE International Conference on Computer Vision, pages 1073-1080m, Bombay, India, 1998], single-level DP in discrete pixel oriented methods are described. In an article [Peter N. Belhumeur. A Bayesian approach to binocular stereopsis. International Journal of Computer Vision, 19(3):237-260, 1996], a more complex DP method with sub-pixel resolution is described. Though this class of methods is much faster than the Markov random field based ones, they do not scale well for parallel processing and are thus still unsuitable for real-time stereo matching.

Amendments to the paragraph beginning at page 5, line 28:

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Amendments to the paragraph beginning at page 6, line 3:

As shown in FIG. 2, the SMC 13 contains a linear array of N identical processing elements 22 and two linear arrays, each of  $N/2$  image registers 20 and 21. Here,  $N$  is a multiple of 2.

Amendments to the paragraph beginning at page 6, line 25:

Therefore, half of the processing elements 22 and half of the image registers (20 or 21) operate at ~~every~~ each system clock cycle, beginning from the even-numbered processing elements 22 and right image registers 20. The processing step is controlled by read/write signal (F/B or R/W, hereinafter referred to as "R/W"). When an R/W signal line is in a high state, data is written and when the R/W signal line is in a low state, data is read.

Amendments to the paragraph beginning at page 7, line 6:

In the last ClkO in the initializing process, after the first half of the data in the scan line of the right image is input to the processing elements 22, the first pixel in the scan line of the left image is input to the processing elements 22. At this time, registers inside each processing element 22 ~~is~~ are set to an appropriate initial value. The initial value of the processing element 0 is '0' and the initial value of all the other processors is the maximum (or close to the maximum) possible value. Then, the processing process is continuously applied to all pixel data input at each system clock ~~until~~ until data in the present scan line is all processed (ClkE is for the left image, and ClkO is for the right image).

Amendments to the existing claims:

1. (Amended) A real-time stereo image matching system comprising:  
~~a~~ signal converting means for converting an image input from a first camera and a second camera into a digital signal; and  
~~an~~ image matching means for calculating a ~~determined~~ matching cost based on a pair of pixels in one scan line of the first and second digital image signals, tracing ~~the~~ decided a decision value which determines ~~the~~ a minimum matching cost, and outputting the ~~decided~~ decision value as an estimated disparity according to predetermined activation information.

2. (Amended) The real-time stereo image matching system of claim 1, wherein ~~the image input to the signal converting means is obtained by~~ the first camera and second camera ~~that~~ have optical axes parallel to each other and have ~~the coplanar~~ focal planes on ~~the same plane~~.

3. (Amended) The real-time stereo image matching system of claim 1, wherein, in the image matching means, ~~the calculation of~~ the matching cost is calculated after occlusion information ~~in for pixels~~ which ~~pixels~~ do not match in the scan line is added to ~~the first pixel and the second pixel~~ pair of pixels.

4. (Amended) The real-time stereo image matching system of claim 1, wherein the image matching means further comprises:  
~~a~~ first storage means for storing the digital image pixels from the first camera;  
~~a~~ second storage means for storing the digital image pixels from the second camera;  
~~a~~ processing means for outputting ~~a predetermined~~ estimated disparity using pixels input from the ~~first storage means~~ and second storage means; and  
~~a~~ clock control means for providing a clock signal for controlling ~~the~~ operations of the first and second storage means and the processing means.

5. (Amended) The real-time stereo image matching system of claim 4, wherein the system ~~is formed of~~ includes N processing means, N/2 first storage means, and N/2 second storage means ~~(Here, where N is a an integer multiple of 2)~~.

7. (Amended) The real-time stereo image matching system of claim 5, wherein, among the N processing means, only ~~the~~ a processing means that outputs ~~a the~~ predetermined disparity is activated at one time and the remaining processing means are in high impedance states.

10. (Amended) The real-time stereo image matching system of claim 4, wherein the clock control means outputs a first clock signal for ~~the~~ even-numbered processors and the second storage means, and a second clock signal for ~~the~~ odd-numbered processors and the first storage means.

11. (Amended) The real-time stereo image matching system of claim 4, wherein the processing means comprises:

a forward processor for receiving a pixel of one scan line in the first storage means and the second storage means, and outputting a determined matching cost and a decision value;

a decision storage means for storing the decision value output from the forward processor; and

a second processor for outputting a determined disparity, using the decision value output from the decision storage means, according to ~~the determined~~ activation information.

12. (Amended) The real-time stereo image matching system of claim 11, wherein, when a write control signal is input from outside, the first processor operates, and when a read control signal is input from outside, the second processor operates.

13. (Amended) The real-time stereo image matching system of claim 11, wherein the decision storage means has a last-in first-out structure in which the ~~decided~~ decision value that is output last from the first processor is first input to the second processor.

14. (Amended) The real-time stereo image matching system of claim 11, wherein the first processor comprises:

a matching cost calculating means for calculating a matching cost, using a pixel of one line in the first storage means and the second storage means;

a first adding means for adding the calculated matching cost to the fed-back accumulated cost;

~~a-comparing~~ means for comparing the output of the first adding means with the costs of neighboring processing means, and then outputting the minimum matches of cost and decision value;

~~a-storage~~ means for storing the minimum cost that is ~~the result of a comparison~~ produced by the comparison means, as the accumulated cost; and

~~a-second adding~~ means for adding the entire cost and occlusion cost to produce a sum, and then outputting the ~~result~~ sum to neighboring processing means.

15. (Amended) The real-time stereo image matching system of claim 11, wherein the second processor comprises:

~~a-logical OR~~ means for ~~performing OR-ing~~ the activation information of the neighboring processing means ~~and the feed-back activation information route~~;

a register for storing the last activation information ~~and that is the result of~~ produced by the OR-ing logical OR means;

~~a-demultiplexing~~ means for demultiplexing the last activation information according to the decision value output from the decision storage means ~~to output and outputting~~ to the neighboring processing means ~~and feed feeding~~ back to the logical OR means; and

a tri-state buffer for outputting the decision value output from the decision storage means, as a determined disparity, according to the activation information of the register.

Amendments to the abstract:

Abstract

A system for processing stereo matching of a video image sequence in a real-time mode ~~is provided~~. The system includes a signal ~~converting means~~ converter for converting an image input from a first camera and a second camera into a digital signal; and an image matching ~~means~~ chip for calculating a determined matching cost based on a pair of pixels in one scan line of the first and second digital image signals, tracing the decision value which determines the minimum matching cost, and outputting the decided value as an estimated disparity according to determined activation information; and a

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For: SYSTEM FOR  
MATCHING STEREO  
IMAGE IN REAL  
TIME

**CLAIMS PENDING AFTER PRELIMINARY AMENDMENT**

1. A real-time stereo image matching system comprising:  
signal converting means for converting an image input from a first camera and a second camera into a digital signal; and  
image matching means for calculating a matching cost based on a pair of pixels in one scan line of the first and second digital image signals, tracing a decision value which determines a minimum matching cost, and outputting the decision value as an estimated disparity according to predetermined activation information.
2. The real-time stereo image matching system of claim 1, wherein the first camera and second camera have optical axes parallel to each other and have coplanar focal planes on.
3. The real-time stereo image matching system of claim 1, wherein, in the image matching means, the matching cost is calculated after occlusion information for pixels which do not match in the scan line is added to the pair of pixels.

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first storage means for storing the digital image pixels from the first camera;  
second storage means for storing the digital image pixels from the second camera;  
processing means for outputting a estimated disparity using pixels input from the  
first and second storage means; and

5. The real-time stereo image matching system of claim 4, wherein the system includes N processing means, N/2 first storage means, and N/2 second storage means where N is an integer multiple of 2.

7. The real-time stereo image matching system of claim 5, wherein, among the N processing means, only a processing means that outputs the predetermined disparity is activated at one time and the remaining processing means are in high impedance states.

9. The real-time stereo image matching system of claim 4, wherein a pixel stored in the first storage means is delayed by  $(N/2-1)$  clock cycles compared to a pixel stored in the second storage means.

10. The real-time stereo image matching system of claim 4, wherein the clock control means outputs a first clock signal for even-numbered processors and the second storage means, and a second clock signal for odd-numbered processors and the first storage means.

11. The real-time stereo image matching system of claim 4, wherein the processing means comprises:

a forward processor for receiving a pixel of one scan line in the first storage means and the second storage means, and outputting a determined matching cost and a decision value;

decision storage means for storing the decision value output from the forward processor; and

a second processor for outputting a determined disparity, using the decision value output from the decision storage means, according to activation information.

12. The real-time stereo image matching system of claim 11, wherein, when a write control signal is input from outside, the first processor operates, and when a read control signal is input from outside, the second processor operates.

13. The real-time stereo image matching system of claim 11, wherein the decision storage means has a last-in first-out structure in which the decision value that is output last from the first processor is first input to the second processor.

14. The real-time stereo image matching system of claim 11, wherein the first processor comprises:

matching cost calculating means for calculating a matching cost, using a pixel of one line in the first storage means and the second storage means;

first adding means for adding the calculated matching cost to the fed-back accumulated cost;

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comparing means for comparing the output of the first adding means with the costs of neighboring processing means, and then outputting the minimum matches of cost and decision value;

storage means for storing the minimum cost that is a comparison produced by the comparison means, as the accumulated cost; and

second adding means for adding the entire cost and occlusion cost to produce a sum, and then outputting the sum to neighboring processing means.

15. The real-time stereo image matching system of claim 11, wherein the second processor comprises:

logical OR means for OR-ing activation information of the neighboring processing means and feed-back activation information route;

a register for storing the last activation information produced by the logical OR means;

demultiplexing means for demultiplexing the last activation information according to the decision value output from the decision storage means and outputting to the neighboring processing means and feeding back to the logical OR means; and

a tri-state buffer for outputting the decision value output from the decision storage means, as a determined disparity, according to the activation information of the register.

16. The real-time stereo image matching system of claim 17, wherein the output from the decision storage means controls which direction the demultiplexing means passes the activation information.